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G.W. Frank

University of Western Ontario

A.S. Deakin

University of Western Ontario

M.A.H. Nerenberg

University of Western Ontario

James A. Blackburn

Wilfrid Laurier University, jabjabjab@cogeco.ca

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Subharmonic locking in Josephson weak links

G. W. Frank, A. S. Deakin, and M. A. H. Nerenberg

Department of Applied Mathematics, University of Western Ontario, London, Ontario, Canada N6A 5B9

James A. Blackburn

Department of Physics, Wilfred Laurier University, Waterloo, Ontario, Canada

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After some controversy, it has been shown that subharmonic voltage (phase) locking does not exist in the ac-driven overdamped resistively shunted junction model of a Josephson weak link. We predict that for a very similar system of a pair of coupled links without ac drive, mutual subharmonic locking can take place. We demonstrate our thesis both by a careful numerical simulation of the exact equations of the model and by a second-order analytical perturbation calculation based on the coupling parameter.

I. INTRODUCTION

It is now generally accepted that the resistively shunted junction (RSJ) model, with capacitance set to zero, has turned out to be adequate in predicting the principal behavior of Josephson weak links.¹

For a single link the corresponding differential equation is

$$I = I_c \sin \phi + \frac{1}{R_N} \frac{\hbar}{2e} \frac{d\phi}{dt}, \quad (1)$$

where $I = I_{dc} + I_{ac}$ is the sum of both supercurrent and normal (quasiparticle) current through the junction, R_N is the resistance of the quasiparticles, I_c is the critical current of the weak link, while ϕ is the phase difference across it.

Despite the apparent simplicity of this equation there has been controversy in the history of its use. Early numerical work² seemed to indicate the existence of subharmonic dc voltage locking of the junction to the frequency of an external ac current source, along with voltage locking and harmonic locking. Subsequent analytical work has proven rigorously that the subharmonic type of locking with its corresponding voltage steps cannot occur in this model.^{3,4} This contrasts with the more elaborate RSJ model in which one presumes that the junction has capacitance as well as resistance. There, subharmonic locking is predicted.⁵ The overdamped (noncapacitive) RSJ model contrasts further with the more elaborate model by having, even when ac driven, no chaotic solutions, a consequence of its two-dimensional phase space.^{6,7} It has by now been very amply demonstrated that the capacitive model supports chaos when driven by an ac current source.⁸

Since a weak link itself can act as a source of ac current, the system of two dc-biased weak links, coupled to each other via some mechanism¹ should bear strong resemblance to the ac- and dc-biased single weak link. Of course, the weak links' mutual interaction distinguishes it from the one-way action of an ac source upon a single link.

It has been shown⁹⁻¹² that the overdamped RSJ model successfully describes many of the phenomena actually observed experimentally for a coupled system. This includes mutual equal-voltage locking, harmonic-voltage locking, as well as coherent effects outside locking zones. It also predicts the possibility of mutual-voltage locking of an indefinitely large number of such links which would lead them to oscillate and radiate coherently.¹³ A review of experimental and theoretical work on mutual equal-voltage locking was published a few years ago.¹⁴

The question addressed here is whether a pair of coupled noncapacitive Josephson weak links can mutually lock subharmonically; that is, given two positive relatively prime integers n_1, n_2 , neither of which is unity, whether there is a region in parameter space of nonzero measure such that $n_1 V_1 = n_2 V_2$, where V_1 and V_2 are the average voltages of the individual junctions. To the best of our knowledge, for such a system, this type of locking has not yet been observed experimentally.

We approach the problem from two virtually independent angles. The lesson to be learned from the original mistaken positive result² for the ac-driven single link is that numerical simulation of the equations alone might not be an adequate test for this question. Numerical approximation of the differential equation itself might lead to an effective spurious $\cos \phi$ term,⁴ or possibly some other defect. In addition, apparent locking for long periods may be observed, only to be followed by slippage if the simulation is allowed to continue long enough.

We therefore carried out a complementary analytical perturbation expansion in order to corroborate the numerical simulation. This had the added benefit of illuminating the qualitative behavior of the system in the region of subharmonic locking. In particular this calculation showed that the latter, unlike equal-voltage locking and harmonic locking, cannot appear to first order in the coupling constant α ; perhaps a vestige of its nonexistence for the ac-driven single link. This might explain in part why subharmonic locking in this system has remained unobserved,¹⁰ since for the most part experimental α values have been modest.

TABLE I. A summary of the results on the locking interval for the 3:2 subharmonic (i.e., $V_1/V_2 = \frac{3}{2}$), for three values of the coupling α . The other parameters are exactly those of the series-aiding case of Refs. 9 and 11: $I_1=2$, $I_{c1}=1.2$, $I_{c2}=0.8$, $\delta_1=1$, and $\delta_2=\frac{2}{3}$. NS stands for numerical simulation and PC for perturbation calculation.

α	Center of I_2 Locking Interval		Length of I_2 Locking Interval	
	NS	PC	NS	PC
0.05	1.816 461	1.816 461	0.000 018	0.000 022
0.07	1.825 910	1.825 908	0.000 035	0.000 046
0.20	1.867 100	1.866 940	0.000 180	0.000 614

II. NUMERICAL SIMULATION

The equations which describe the system are, in appropriate units,⁹

$$\begin{aligned} \frac{d\phi_1}{dt} &= \delta_1(I_1 - I_{c1}\sin\phi_1) - \alpha(I_2 - I_{c2}\sin\phi_2), \\ \frac{d\phi_2}{dt} &= \delta_2(I_2 - I_{c2}\sin\phi_2) - \alpha(I_1 - I_{c1}\sin\phi_1), \end{aligned} \quad (2)$$

where ϕ_1, ϕ_2 are the phase difference across the weak links; I_{c1}, I_{c2} are the corresponding critical currents, while α measures the coupling between junctions produced by various mechanisms such as quasiparticle diffusion¹⁰ or via a shunt resistor.⁹ δ_1, δ_2 are a measure of the asymmetry of the junctions due to different resistances. t is a dimensionless time as described in Ref. 9.

A fourth-order Runge-Kutta method was used in seventeen-decimal-digit arithmetic to solve the system (2). Great care was taken using extremely long-time runs to ensure that the short-time average ($n_2\phi_2 - n_1\phi_1$) was indeed

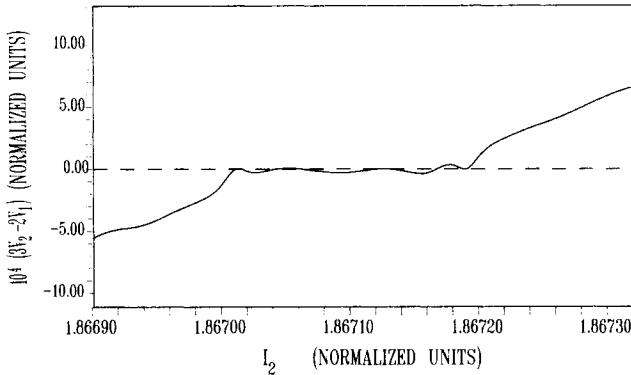


FIG. 1. An exploded view of the numerically determined I - V curve in the vicinity of 3:2 locking for a pair of coupled Josephson weak links. Parameters are the same as for Table I, except we select the $\alpha=0.2$ case; i.e., this is exactly the case of the series aiding example of Refs. 9 and 11. $3V_2-2V_1$ is plotted so that the locking zone is the zero of this variable. Small fluctuations in the graph are due to the rapid oscillation $\sim 2\pi$ which exists in the corresponding time-dependent voltage. These curves were determined by averaging over a time span of 120 000 time units. (The end points of the zone as given in Table I were determined over even much longer runs.)

constant in the locking zone. All but one parameter were held constant at typical values while I_2 was used as the control parameter, in exact accordance with the series-aiding case associated with earlier practice.^{9,11,12}

In particular the 3:2 subharmonic was selected for detailed consideration. Figure 1 shows the appropriate I - V curve in the neighborhood of 3:2 locking illustrating the latter's continuous but rapid onset. Detailed determination of the I_2 locking interval was made for three values of α . These intervals are listed together with the perturbation results in Table I. The comparison will be made below.

III. PERTURBATION ANALYSIS

As in previous work⁹⁻¹¹ the analytic treatment of the system (2) depends upon treating the coupling parameter α as "small". We employed the method of averaging¹⁵ as we had done in Ref. 11, where, in order to implement the technique, we described a necessary variable change from (ϕ_1, ϕ_2) to (ξ_1, ξ_2) therein defined. Applying this process here and fixing all parameters except say I_2 , subharmonic dc voltage locking $n_2V_2 = n_1V_1$ will then occur for those I_2 which cause the time average

$$\lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T \frac{d}{dt} (n_1\xi_1 - n_2\xi_2) dt \quad (3)$$

to vanish.¹¹ $V_{1,2}$ are the respective dc (or average) voltages of links 1 and 2 while n_1, n_2 are positive relatively prime integers, neither of which is unity.

It follows that it is convenient to introduce new variables when studying a particular $n_2:n_1$ locking zone by

$$\xi = n_1\xi_1 + n_2\xi_2$$

and

$$D = n_1\xi_1 - n_2\xi_2, \quad (4)$$

where we can anticipate that ξ will be a "fast" variable while D will be "slow." We only give a bare sketch of the ensuing calculation, since it is an adaptation of a similar one carried out in Ref. 11. Since some of the symbols have lengthy definitions we refer the reader to this same source for any here undefined quantities.

In terms of ξ and D , (2) becomes

$$\begin{aligned}\frac{d\xi}{dt} &= \Omega^+ - \alpha \left[\Gamma^+ \cos(q^+ \xi + q^- D) + \sum_{j,r,s} n_j Z_{jrs}^i \right], \\ \frac{dD}{dt} &= \Omega^- - \alpha \left[\Gamma^- \cos(q^+ \xi + q^- D) + \sum_{j,r,s} \epsilon_{ji} n_j Z_{jrs}^i \right],\end{aligned}\quad (5)$$

where

$$\begin{aligned}\Gamma^\pm &= (n_1 \gamma_{11}^2 \pm n_2 \gamma_{21}^1), \quad q^\pm = (n_2 \pm n_1)/2, \\ \Omega^\pm &= (n_1 F_1 \pm n_2 F_2), \\ q_{rs}^{\pm jl} &= [n_j (2r + l + s) \pm n_i s] / 2, \\ F_j &= \Omega_j + \alpha \sigma_j^i \cosh \lambda_j, \\ Z_{jrs}^i &= e^{-\lambda_i J_{jrs}^i} \cos(q_{rs}^{-j2} \xi + \epsilon_{ij} q_{rs}^{+j2} D) \\ &\quad + L_{jrs}^i \sin(q_{rs}^{-j1} \xi + \epsilon_{ij} q_{rs}^{+j1} D),\end{aligned}\quad (6)$$

where the summations in (5) are over

$$r=0,1,2,\dots, \quad s=-1,0,1, \quad i,j=1,2,$$

but with $i \neq j$ and $\epsilon_{12} = -\epsilon_{21} = 1$.

The Krylov-Bogoliubov-Mitropolski technique¹⁵ is applied to (5) involving final change of variable to $(\bar{\xi}, \bar{D})$ where

$$\begin{aligned}\xi &= \bar{\xi} + \alpha \psi_1(\bar{\xi}, \bar{D}) + \alpha^2 \psi_2(\bar{\xi}, \bar{D}) + O(\alpha^3), \\ D &= \bar{D} + \alpha \sigma_1(\bar{\xi}, \bar{D}) + \alpha^2 \sigma_2(\bar{\xi}, \bar{D}) + O(\alpha^3),\end{aligned}\quad (7)$$

leading to the differential equations

$$\begin{aligned}\frac{d\bar{\xi}}{dt} &= \Omega^+ + \alpha B_1(\bar{D}) + \alpha^2 B_2(\bar{D}) + O(\alpha^3), \\ \frac{d\bar{D}}{dt} &= \Omega^- + \alpha A_1(\bar{D}) + \alpha^2 A_2(\bar{D}) + O(\alpha^3).\end{aligned}\quad (8)$$

The equations determining A_i , B_i , ψ_i , and σ_i are obtained by inserting (7) and (8) into (5) and identifying like terms in α . As part of the process A_i and B_i are chosen so that ψ_i and σ_i become periodic functions in $\bar{\xi}$ with zero average value.

It is readily shown that in this case $A_1 = 0 = B_1$. Hence subharmonic locking is associated with second-order terms in α , not first order as is the case of equal-voltage locking or harmonic locking.^{9,11} Thus from the second equation of (8) we see that the criterion for subharmonic locking is to second order in α : $\Omega^- + \alpha^2 A_2(\bar{D}) = 0$, the real roots of which (if they exist) as a function of I_2 determine the locking interval. The coefficients $A_2(\bar{D})$ and $B_2(\bar{D})$ are periodic functions of \bar{D} . (The algebraic details involved in the determination of A_2 and B_2 are very extensive and for brevity are omitted.)

The fact that first-order terms in α vanish implies that the width of the locking zone will be quadratic in α , and hence much more difficult to observe for weak coupling than equal voltage or harmonic locking, which are mediated by a locking zone of order α .^{9,11}

Finally, it should be noted that a telescoping or "renormalization" process which effectively redefines the frequency, first introduced in Refs. 9 and 11 was again employed. This was done by choosing the λ_j in (6) so that

the σ_j^i are zero and hence $F_j = \Omega_j$. In this context as in that of Ref. 11, it is not essential for the removal of secular terms as it had been in Ref. 9, but it does improve the accuracy of the results considerably, especially in regard to the position of the locking zone.

IV. RESULTS AND DISCUSSION

Both the numerical simulation and the analytical perturbation calculation predict subharmonic locking for this system. We studied in detail the 3:2 subharmonic locking interval in I_2 , for three values of the coupling constant α . The results are shown in Table I. A graphical display for one case is given by the I - V curves in Fig. 1.

As expected from the second order of the perturbation calculation the predicted locking intervals are extremely small at low coupling. For the two lower values of α the analytical calculation is in remarkable agreement with the "exact" numerical simulation, most especially in the predicted location of the locking interval, but also in the width of this interval. The renormalization or telescoping process mentioned above in regard to the perturbation theory is in good part responsible for the excellent positioning of the center of the interval. For the largest value of α , of 0.2, the quantitative agreement is no longer good, indicating the breakdown of second-order perturbation theory for this process. The numerical simulation itself indicates that the length of the interval is growing more slowly than α^2 at $\alpha=0.2$, predicting the loss of validity of the second-order calculation.

In the vicinity of a particular subharmonic locking zone, perturbation theory, to a high degree of accuracy, distills Eqs. (5)–(8) down to an equation governing the appropriate average phase difference \bar{D} ,

$$\frac{d\bar{D}}{dt} = \alpha^2 (A + B \sin \bar{D}),$$

where A and B are functions of the parameters of the system, A vanishing precisely at the center of the locking zone. This simplified equation predicts that the interval of locking will be a mapping of the interval $-\pi/2 \leq \bar{D} \leq \pi/2$ onto the appropriate I_2 interval. That is, at the extreme of the locking zone where $A = B$ the average phase difference will be $-\pi/2$, while when $A = -B$ at the other extreme, $\bar{D} = \pi/2$.

In close analogy with our discussions of "voltage pulsing" near equal voltage locking,^{9,11} one would expect from this equation partially coherent behavior just outside the subharmonic locking interval. That is when $|A|$ is greater than $|B|$ but approximately equal to $|B|$, one expects long periods of almost constant average $n_2:n_1$ phase differences interrupted by rapid slippages of 2π . This was observed in the numerical simulation and was used as a guide to "zeroing in" on the locking zone. It could be observed experimentally as well by observing partially coherent behavior outside the actual locking zone.

In conclusion we predict that mutual subharmonic volt-

age locking of noncapacitive coupled weak links could be observed experimentally, practically speaking at least, for the lower values of n_2, n_1 and for strong enough coupling. This contrasts with the single ac driven noncapacitive weak link where no such locking is possible, regardless of the strength of the ac source.

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