# Wilfrid Laurier University

# Scholars Commons @ Laurier

Mathematics Faculty Publications

**Mathematics** 

2004

# Highest Weight Irreducible Representations of Rank 2 Quantum Tori

S. Eswara Rao Tata Institute of Fundamental Research

Kaiming Zhao Wilfrid Laurier University, kzhao@wlu.ca

Follow this and additional works at: https://scholars.wlu.ca/math\_faculty

### **Recommended Citation**

Rao, S. Eswara and Zhao, Kaiming, "Highest Weight Irreducible Representations of Rank 2 Quantum Tori" (2004). *Mathematics Faculty Publications*. 53. https://scholars.wlu.ca/math\_faculty/53

This Article is brought to you for free and open access by the Mathematics at Scholars Commons @ Laurier. It has been accepted for inclusion in Mathematics Faculty Publications by an authorized administrator of Scholars Commons @ Laurier. For more information, please contact scholarscommons@wlu.ca.

## HIGHEST WEIGHT IRREDUCIBLE REPRESENTATIONS OF RANK 2 QUANTUM TORI

#### S. Eswara Rao and K. Zhao

ABSTRACT. For any nonzero  $q \in C$  (the complex numbers), the rank 2 quantum torus  $C_q$  is the skew Laurent polynomial algebra  $C[t_1^{\pm 1}, t_2^{\pm 1}]$  with defining relations:  $t_2t_1 = qt_1t_2$  and  $t_it_i^{-1} = t_i^{-1}t_i = 1$ . Here we consider  $C_q$  as the naturally associated Lie algebra. We add the one dimensional center  $Cc_1$  and the outer derivation  $d_1$  to  $C_q$  to get the extended torus Lie algebra  $\tilde{C}_q$  (and  $\tilde{C}_q$ , in a different manner), where we assume q is a primitive m-th root of unity for  $\hat{C}_q$ . Before this paper, there appeared highest weight representations for  $\tilde{C}_q$  and  $\hat{C}_q$  with only positive integral levels. In this paper, we define the highest weight irreducible ( $\mathbb{Z}$ -graded) module  $V(\phi)$  over  $\tilde{C}_q$  and  $\hat{C}_q$  for any linear map  $\phi: C[t_2^{\pm 1}] + Cc_1 + Cd_1 \to C$ , thus the central charge (level) can be any complex numbers. We obtain the necessary and sufficient conditions for  $V(\phi)$  to have finite dimensional weight spaces, thus obtaining a lot of new irreducible weight representations for these Lie algebras. The corresponding irreducible  $\mathbb{Z} \times \mathbb{Z}$ -graded modules with finite dimensional weight spaces over  $\tilde{C}_q$  are also constructed.

#### 1. Introduction

In the representation theory of infinite dimensional Lie algebras, one of the main tasks is the construction of the "good" modules. Recently there has been substantial activity in developing representation theory for higher rank infinite dimensional Lie algebras, in particular toroidal Lie algebras, and quantum torus algebras (see [1], [5], [6], [7], [8], [9], [10]).

Unlike rank one algebras (affine and Virasoro), the higher rank infinite dimensional Lie algebras do not possess a triangular decomposition, which makes the standard construction of the highest weight modules inapplicable. Nonetheless, there have been found several explicit realizations of representations for these algebras using the vertex operator approach (see the above mentioned papers).

In the vertex constructions the highest weight is replaced with a module for the subalgebra of degree zero (the subalgebra is infinite dimensional). Let us describe in brief these representations from the perspective of the highest weight modules.

Let G be a complex  $\mathbb{Z}$ -graded Lie algebra and let  $G = G^- \oplus G^{(0)} \oplus G^+$  be a decomposition of G relative to the  $\mathbb{Z}$ -grading, where  $\mathbb{Z}$  is the ring of integers.

Received June 1, 2003.

<sup>2000</sup> Mathematics Subject Classification. 17B20, 17B65, 17B67, 17B68, 16A40, 16A68.

This work is supported by the NSF of China (Grant 10371120).

The subalgebra  $G^{(0)}$  is an infinite-dimensional Lie algebra, not necessarily commutative. We take some natural module V for  $G^{(0)}$ . Parallel to the construction of a highest weight module, we let  $G^+$  act on V trivially, and introduce the induced module

$$\tilde{M}(V) = \operatorname{Ind}_{G^{(0)}+G^+}^G V \simeq U(G^-) \otimes_C V,$$

where C is the field of complex numbers. Then  $\tilde{M}(V)$  is  $\mathbb{Z}$ -graded.

The difficulty here is that M(V) will have infinite-dimensional homogeneous components (and thus will not have a character formula). Nonetheless the explicit vertex operator constructions show that, in some cases,  $\tilde{M}(V)$  indeed has an irreducible quotient with finite-dimensional homogeneous components. This situation has been clarified in [6], where it was proved that  $\tilde{M}(V)$  has a graded factor-module M(V) with finite-dimensional components for some V over some quantum tori  $C_q$  defined below in (1.2) and (1.4). Now let us first recall the definition for  $C_q$ .

Let  $q \in C$  be nonzero. The rank 2 *q*-quantum torus  $C_q$  which (and higher rank also) was studied in [11] is the unital associative algebra over C generated by  $t_1^{\pm 1}, t_2^{\pm 1}$  and subject to the defining relations

$$t_2 t_1 = q t_1 t_2, \ t_i t_i^{-1} = t_i^{-1} t_i = 1.$$
 (1.1)

In this paper we always consider  $C_q$  as the associated Lie algebra. The reason we consider only rank 2 quantum torus Lie algebras is the following. In many references like [2], [8], [9], [10] (but not [6]), higher rank quantum torus Lie algebras are studied but with the assumption that all the variables except  $t_1$ are commutative. Algebras under this assumption essentially have the same properties which assure that they have the same type representations.

For any  $a \in \mathbb{Z}^2$ , we always write  $a = (a_1, a_2)$ , and denote  $t^a = t_1^{a_1} t_2^{a_2}$ . For any  $a, b \in \mathbb{Z}^2$ , we define  $\sigma(a, b)$  and f(a, b) by

$$t^{a}t^{b} = \sigma(a,b)t^{a+b}, \ t^{a}t^{b} = f(a,b)t^{b}t^{a}.$$

Then

$$\sigma(a,b) = q^{a_2b_1}, \ f(a,b) = q^{a_2b_1-a_1b_2}, \ \text{ and} \\ f(a,b) = \sigma(a,b)\sigma(b,a)^{-1}.$$

For properties of  $C_q$ , please refer to [2] or [12]. Define  $\operatorname{rad} f = \{a \in \mathbb{Z}^2 | f(a, \mathbb{Z}^2) = 1\}$  and

$$\delta_{\alpha, \operatorname{rad} f} = \begin{cases} 1, & \text{if } \alpha \in \operatorname{rad} f \\ 0, & \text{otherwise.} \end{cases}$$

Let  $\widetilde{C}_q=C_q\oplus Cc_1\oplus Cd_1$  be the extension of the Lie algebra  $C_q$  with defining relations

$$t^{\alpha}, t^{\beta}] = t^{\alpha}t^{\beta} - t^{\beta}t^{\alpha} + \delta_{\alpha+\beta,0}q^{-\alpha_1\alpha_2}\alpha_1c_1, \ \forall \ \alpha, \beta \in \mathbb{Z}^2,$$
(1.2)

$$[c_1, t^{\alpha}] = 0, \ [d_1, t^{\alpha} + Cc_1] = \alpha_1 t^{\alpha}, \ \forall \ \alpha \in \mathbb{Z}^2,$$
(1.3)

where  $\delta_{\alpha+\beta,0}$  is the Kroneker delta.

If q is a primitive m-th root of unity (we assume that m > 1 otherwise  $C_q$  is commutative, a case which does not concern us), we can similarly add the center  $c_1$  and the outer derivation  $d_1$  to  $C_q$  to get the extended torus Lie algebras  $\hat{C}_q$  with defining relations:

$$[t^{\alpha}, t^{\beta}] = t^{\alpha} t^{\beta} - t^{\beta} t^{\alpha} + \delta_{\alpha_1 + \beta_1, 0} \delta_{\alpha + \beta, \mathrm{rad}_f} q^{-\alpha_1 \alpha_2} \alpha_1 c_1, \ \forall \ \alpha, \beta \in \mathbb{Z}^2,$$
(1.4)

$$[c_1, t^{\alpha}] = 0, \ [d_1, t^{\alpha} + Cc_1] = \alpha_1 t^{\alpha}.$$
(1.5)

It is clear that  $\widetilde{C}_q$  and  $\widehat{C}_q$  have a  $\mathbb{Z}$ -gradation with respect to  $Cd_1$ :

$$\widetilde{C}_q = \widehat{C}_q = \oplus_{k \in \mathbb{Z}} L_k, \tag{1.6}$$

where  $L_k = \bigoplus_{p \in \mathbb{Z}} Ct_1^k t_2^p \oplus \delta_{k,0}(Cc_1 + Cd_1)$ . For a  $\mathbb{Z}$ -graded module  $V = \bigoplus_{i \in \mathbb{Z}} V_k$ over  $\widetilde{C}_q$  or  $\widehat{C}_q$ , if it has finite dimensional homogeneous subspaces, i.e., dim  $V_k < \infty$  for all  $k \in \mathbb{Z}$ , its character is defined as

$$\operatorname{ch} V = \sum_{k \in \mathbb{Z}} (\dim V_k) z^{-k}.$$
(1.7)

Before this paper, there appeared only highest weight representations with finite dimensional weight spaces for  $\widetilde{C}_q$  and  $\widehat{C}_q$  with level one or other positive integral levels (see [2], [8], [9], [5], [6]). In this paper, we define the highest weight irreducible ( $\mathbb{Z}$ -graded) module  $V(\phi)$  over  $\widetilde{C}_q$  and  $\widehat{C}_q$  for any linear map  $\phi: C[t_2^{\pm 1}] + Cc_1 + Cd_1 \to C$ , thus the central charge (level) can be any complex numbers. We obtain the necessary and sufficient conditions for  $V(\phi)$  to have finite dimensional weight spaces (Theorems 2.2, 2.4, 2.5), thus obtaining a lot of new irreducible weight representations. The corresponding irreducible  $\mathbb{Z} \times \mathbb{Z}$ graded modules with finite dimensional homogeneous subspaces over  $\widetilde{C}_q$  are given in Section 3.

## 2. Highest weight representations for $\widetilde{C}_q$ and $\widehat{C}_q$

In this section we denote  $L = \widetilde{C}_q$  (or  $\widehat{C}_q$ ). With respect to the  $\mathbb{Z}$ -gradation (1.6), let  $L_+ = \bigoplus_{i \in \mathbb{Z}_+} L_i$ ,  $L_- = \bigoplus_{i < 0} L_i$ .

Now we define highest weight modules over L. For any linear map

$$\phi: C[t_2^{\pm 1}] + Cc_1 + Cd_1 \to C$$

with  $\phi(d_1) = 0$  (this is only for convenience since these values do not affect the module structure), we define the 1-dimensional  $(L_0 + L_+)$ -module  $Cv_0$  via

$$L_i v_0 = 0$$
, if  $i > 0$ ;  $x v_0 = \phi(x) v_0$ ,  $\forall x \in L_0$ . (2.1)

Then we have the induced L-module

$$\bar{V}(\phi) = \operatorname{Ind}_{L_0+L_+}^L Cv_0 = U(L) \otimes_{U(L_++L_0)} Cv_0,$$

where U(L) is the universal enveloping algebra of the Lie algebra L. It is clear that,  $d_1$  acts diagonally on  $\bar{V}(\phi)$ , and  $\bar{V}(\phi) \simeq U(L_-)$  as vector spaces. Since the 0-eigenspace of  $d_1$  is 1-dimensional, the module  $\bar{V}(\phi)$  has a unique maximal proper submodule J. Then we obtain the irreducible module

$$V(\phi) = \frac{V(\phi)}{J}.$$
(2.2)

It is clear that  $V(\phi)$  is uniquely determined by the linear function  $\phi$ , and  $V(\phi) = \bigoplus_{i \in \mathbb{Z}_+} V_{-i}$  where

$$V_i = \{ v \in V(\phi) \mid d_1 v = iv \}.$$
(2.3)

Generally, not all weight spaces  $V_i$  of  $V(\phi)$  are finite-dimensional.

**Theorem 2.1.** (a) The module  $V(\phi)$  over  $\widetilde{C}_q$  has finite dimensional weight spaces if and only if there exists a nonzero polynomial  $P(t_2) = \sum_{i=0}^{n} a_i t_2^i \in C[t_2]$  with  $a_0 a_n \neq 0$  such that

$$\phi\left(t_2^k P(t_2) - q^k t_2^k P(qt_2) + a_{-k} c_1\right) = 0, \ \forall \ k \in \mathbb{Z},$$
(2.4)

and further  $\phi(c_1) = 0$  if q is not generic (i.e., q is a root of unity), where  $a_k = 0$  if  $k \notin \{0, 1, ..., n\}$ .

(b) Suppose q is a primitive m-th root of unity with m > 1. The module  $V(\phi)$  over  $\widehat{C}_q$  has finite dimensional weight spaces if and only if there exists a nonzero polynomial  $P(t_2) = \sum_{i=0}^{n} a_i t_2^i \in C[t_2]$  with  $a_0 a_n \neq 0$  such that

$$\phi\Big(t_2^k P(t_2) - q^k t_2^k P(qt_2) + \sum_{i \equiv -k \mod m} a_i c_1\Big) = 0, \ \forall \ k \in \mathbb{Z},$$
(2.5)

where  $a_k = 0$  if  $k \notin \{0, 1, \dots, n\}$ .

*Proof.* (a) " $\Rightarrow$ ". Since dim  $V_{-1} < \infty$ , there exist an  $s \in \mathbb{Z}$  and a nonzero polynomial  $P(t_2) = \sum_{i=0}^{n} a_i t_2^i \in C[t_2]$  with  $a_0 a_n \neq 0$  such that

$$(t_1^{-1}t_2^s P(t_2)).v_0 = 0$$

Applying  $t_1 t_2^k$  for any  $k \in \mathbb{Z}$  to the above equation, we obtain that

$$0 = (t_1 t_2^k) \cdot (t_1^{-1} t_2^s P(t_2)) \cdot v_0 = q^{-k} \left( t_2^{k+s} P(t_2) - q^{k+s} t_2^{k+s} P(qt_2) + a_{-k-s} c_1 \right) v_0$$
  
=  $q^{-k} \phi \left( t_2^{k+s} P(t_2) - q^{k+s} t_2^{k+s} P(qt_2) + a_{-k-s} c_1 \right) v_0,$ 

to give

$$\phi\Big(t_2^{k+s}P(t_2) - q^{k+s}t_2^{k+s}P(qt_2) + a_{-k-s}c_1\Big) = 0.$$

If q is a primitive m-th root of 1 where  $m \ge 1$ , and  $\phi(c_1) \ne 0$ , by applying  $t_1^m t_2^p$  to  $\sum_i b_i(t_1^{-m}t_2^i)v_0 = 0$  we deduce that  $b_i = 0$ , so  $\{(t_1^{-m}t_2^i)v_0 \mid i \in \mathbb{Z}\} \subset V_{-m}$  is a linearly independent set, contrary to the assumption. So  $\phi(c_1) = 0$  if q is a primitive m-th root of 1. Thus this direction follows.

" $\Leftarrow$ ". For  $V_0$ , we know that

$$\left(t_2^k P(t_2) - q^k t_2^k P(qt_2) + a_{-k} c_1\right) v_0 = 0, \ \forall \ k \in \mathbb{Z}.$$
(2.6)

Since for any  $k, l \in \mathbb{Z}$ ,

$$(t_1 t_2^k) \cdot (t_1^{-1} t_2^l P(t_2)) \cdot v_0 = q^{-k} \left( t_2^{k+l} P(t_2) - q^{k+l} t_2^{k+l} P(qt_2) + a_{-k-l} c_1 \right) v_0$$
  
=  $q^{-k} \phi \left( t_2^{k+l} P(t_2) - q^{k+l} t_2^{k+l} P(qt_2) + a_{-k-l} c_1 \right) v_0 = 0,$ 

from the irreducibility of  $V(\phi)$  we see that

$$(t_1^{-1}t_2^l P(t_2)).v_0 = 0, \ \forall \ l \in \mathbb{Z}.$$
(2.7)

Note that  $L_{-}$  is generated by  $L_{-1}$  and  $t^{\alpha} \in L_{-}$  for  $\alpha \in \operatorname{rad} f$ , and  $L_{+}$  is generated by  $L_{1}$  and  $t^{\alpha} \in L_{+}$  for  $\alpha \in \operatorname{rad} f$ . For any  $t^{\alpha} \in L_{-}$  with  $\alpha \in \operatorname{rad} f$  it is clear that  $L_{+}t^{\alpha}v_{0} = 0$ , thus we deduce that  $t^{\alpha}V = 0$  for any  $\alpha \in \operatorname{rad} f$ . Further

$$L_{-1}V_{-i} = V_{-i-1}, \ \forall \ i \in \mathbb{Z}_+,$$

and, if  $v \in V_{-i}$  where i > 0, satisfies  $L_1 v = 0$  then v = 0.

Next, by induction on s we show

**Claim 1.** For any  $i: 0 \le i \le s$  where  $s \in \mathbb{Z}_+$ , we have nonzero finite sum  $P_i(t_2) = \sum_{j \in \mathbb{Z}} a_j^{(i)} t_2^j \in C[t_2]$  such that

$$\begin{pmatrix} t_2^k P_i(t_2) - q^k t_2^k P_i(qt_2) + a_{-k}^{(i)} c_1 \end{pmatrix} V_{-i} = 0, \ \forall \ k \in \mathbb{Z},$$
$$(t_1^{-1} t_2^k P_i(t_2)) V_{-i} = 0, \ \forall \ k \in \mathbb{Z}.$$

Formulae (2.6) and (2.7) ensure the claim for s = 0 with  $P_0 = P$ . Suppose the claim holds for s. Now let us consider the claim for s + 1.

The first formula in the Claim is equivalent to

$$\left(Q(t_2) - Q(qt_2) + a_Q c_1\right) V_{-i} = 0, \qquad (2.8)$$

for any  $Q(t_2) \in C[t_2^{\pm 1}]$  with  $P_i|Q$ , where  $a_Q$  is the constant term of Q.

Let  $P_{s+1}(t_2) = P_s(qt_2)P_s(t_2)P_s(q^{-1}t_2) = \sum_{j \in \mathbb{Z}} a_j^{(s+1)}t_2^j$ . For any  $k, l \in \mathbb{Z}$ , noticing that  $P_s(t_2)|P_{s+1}(t_2), P_s(t_2)|P_{s+1}(qt_2)$  and  $P_s(t_2)|P_{s+1}(q^{-1}t_2)$ , by induction we have

$$\begin{pmatrix} t_2^l P_{s+1}(t_2) - q^l t_2^l P_{s+1}(qt_2) + a_{-l}^{(s+1)} c_1 \end{pmatrix} \cdot (t_1^{-1} t_2^k V_{-s})$$

$$= (t_1^{-1} t_2^k) \Big( t_2^l P_{s+1}(t_2) - q^l t_2^l P_{s+1}(qt_2) + a_{-l}^{(s+1)} c_1 \Big) \cdot V_{-s}$$

$$+ \Big[ t_2^l P_{s+1}(t_2) - q^l t_2^l P_{s+1}(qt_2) + a_{-l}^{(s+1)} c_1, t_1^{-1} t_2^k \Big] V_{-s}$$

$$\Big( t_1^{-1} t_2^{k+l} (q^{-l} P_{s+1}(q^{-l} t_2) - 2P_{s+1}(t_2) + q^l P_{s+1}(qt_2)) \Big) V_{-s} = 0.$$

This proves the first formula in Claim 1 for i = s + 1.

=

Using this newly established formula, for any  $k, l, r \in \mathbb{Z}$ , noticing that  $(t_1^{-1}t_2^l P_{s+1}(t_2)).V_{-s} = 0$ , we deduce that

$$(t_1 t_2^r) \cdot (t_1^{-1} t_2^l P_{s+1}(t_2)) \cdot (t_1^{-1} t_2^k V_{-s})$$
  
=  $[t_1 t_2^r, t_1^{-1} t_2^l P_{s+1}(t_2)] \cdot (t_1^{-1} t_2^k V_{-s}) + (t_1^{-1} t_2^l P_{s+1}(t_2)) \cdot (t_1 t_2^r) \cdot (t_1^{-1} t_2^k V_{-s})$ 

$$= [t_1 t_2^r, t_1^{-1} t_2^l P_{s+1}(t_2)] \cdot (t_1^{-1} t_2^k V_{-s})$$
  
=  $q^{-r} \Big( t_2^{r+l} P_{s+1}(t_2) - q^{r+l} t_2^{r+l} P_{s+1}(qt_2) + a_{-r-l}^{(s+1)} c_1 \Big) \cdot (t_1^{-1} t_2^k V_{-s}) = 0,$ 

which implies that  $(t_1^{-1}t_2^l P_{s+1}(t_2)).(t_1^{-1}t_2^k V_{-s}) = 0$  for all  $k, l \in \mathbb{Z}$ . Thus  $(t_1^{-1}t_2^l P_{s+1}(t_2)).V_{-s-1} = 0$  for all  $l \in \mathbb{Z}$ . This proves the second formula in Claim 1 for i = s + 1. By inductive principle, therefore our Claim follows.

From the second formula of Claim 1, we see that

$$\dim V_{-s-1} \le \deg P_{s+1} \cdot \dim V_{-s}, \ \forall \ s \in \mathbb{Z}_+.$$

Thus Part (a) holds.

(b) " $\Rightarrow$ ". This is similar to the proof of the corresponding part of (a). We omit the details.

"\equiv ". If  $\phi(c_1) = 0$ , this is (a). Next suppose  $\phi(c_1) \neq 0$ .

Let  $H = \bigoplus_{\alpha \in \operatorname{rad}_f} Ct^{\alpha} \oplus Cc_1 \oplus Cd_1$ , L' = [L, L] and  $K = \bigoplus_{i \in \mathbb{Z}} Ct_1^{im} \oplus Cc_1 \oplus Cd_1$ . Then H, K and L' are Lie subalgebras of L, L = H + L' with [H, L'] = 0, and K is the standard Heisenberg algebra with the degree operator  $d_1$ .

Let  $W = U(H)v_0$ . We see that  $V = U(L')U(H)v_0$ ,

$$t^{\alpha}U(H)v_0 = 0, \quad \forall \ t^{\alpha} \in L_+ \cap L', \tag{2.9}$$

**Claim 2.**  $t_1^{mi} t_2^{mj}|_W = t_1^{mi}|_W$  for all  $i, j \in \mathbb{Z}$ .

We show this claim by induction on  $W_{-mk}$  for  $k \in \mathbb{Z}_+$ . It is easy to verify that

$$t^{\alpha}((t_1^{mi}t_2^{mj}-t_1^{mi})W_0)=0, \ \forall \ t^{\alpha}\in L_+$$

Then  $(t_1^{mi}t_2^{mj} - t_1^{mi})W_0 = 0$ , i.e.,  $t_1^{mi}t_2^{mj}|_{W_0} = t_1^{mi}|_{W_0}$ .

Suppose  $t_1^{mi}t_2^{mj}|_{-mk} = t_1^{mi}|_{W_{-km}}$  for all  $k \leq k_0$ . For any  $w \in W_{-(k+1)m}$ , by computing

$$t^{\alpha}((t_1^{mi}t_2^{mj}) - t_1^{mi})w) = (t_1^{mi}t_2^{mj}) - t_1^{mi}(t^{\alpha}(w)) = 0, \ \forall \ t^{\alpha} \in L_+,$$

we see that  $t_1^{mi} t_2^{mj}|_{W_{k+1}} = t_1^{mi}|_{W_{k+1}}$ . Hence Claim 2 follows.

From Claim 2 we know that  $W = U(K)v_0$ , W is an irreducible K-module, and

$$\dim W_{mk} < \infty, \ \forall \ k \in \mathbb{Z}.$$
(2.10)

Let  $L'_{-} = L' \cap L_{-}$  and  $L'_{+} = L' \cap L_{+}$ . It is clear that

$$V_{-k} = \sum_{j \ge 0, \ i+mj=k} U(L'_{-})_{-i} W_{-mj}, \qquad (2.11)$$

where  $U(L'_{-})_{-i} = \{u \in U(L'_{-}) \mid [d_1, u] = -iu\}$ , and the right hand side of (2.11) is a finite sum.

Since  $L'_{-}$  is generated by  $L'_{-1}$ , and  $L'_{+}$  is generated by  $L'_{1}$ , we deduce that

$$L'_{-1}W_{-i} = W_{-i-1}, \ \forall \ i \in \mathbb{Z}_+,$$

and, if  $v \in W_{-i}$  satisfies  $L'_1 v = 0$ , where i > 0, then v = 0.

From (2.10) and (2.11), it suffices to show that, for any homogeneous  $u \in W$ , the L'-module W' = U(L')u has finite dimensional weight spaces. Since V is an irreducible L-module, W' is an irreducible L'-module.

We simply write  $W'_{-i} = U(L')_{-i}u$ . For  $W'_0$ , from (2.5) we know that

$$\left(t_2^k P(t_2) - q^k t_2^k P(qt_2) + \sum_{i \equiv -k \mod m} a_i c_1\right) \cdot u = 0, \ \forall \ k \in \mathbb{Z}.$$
 (2.12)

Since for any  $k, l \in \mathbb{Z}$ ,

$$(t_1 t_2^k) \cdot (t_1^{-1} t_2^l P(t_2)) \cdot u = q^{-k} \left( t_2^{k+l} P(t_2) - q^{k+l} t_2^{k+l} P(qt_2) + \sum_{i \equiv -k-l \mod m} a_i c_1 \right) u$$
$$= q^{-k} \phi \left( t_2^{k+l} P(t_2) - q^{k+l} t_2^{k+l} P(qt_2) + \sum_{i \equiv -k-l \mod m} a_i c_1 \right) u = 0,$$

from the irreducibility of W' we see that

$$(t_1^{-1}t_2^l P(t_2)).u = 0, \ \forall \ l \in \mathbb{Z}.$$
 (2.13)

Next, using the same technique as in the proof of Claim 1 by induction on s we show

**Claim 3.** For any  $i: 0 \le i \le s$  where  $s \in \mathbb{Z}_+$ , we have nonzero  $P_i(t_2) = \sum_{j \in \mathbb{Z}} a_j^{(i)} t_2^j \in C[t_2]$  such that

$$\left( t_2^k P_i(t_2) - q^k t_2^k P_i(qt_2) + \sum_{i \equiv -k \mod m} a_{-k}^{(i)} c_1 \right) W_{-i} = 0, \ \forall \ k \in \mathbb{Z},$$
$$(t_1^{-1} t_2^k P_i(t_2)) W_{-i} = 0, \ \forall \ k \in \mathbb{Z}.$$

Formulae (2.12) and (2.13) ensure the claim for s = 0 with  $P_0 = P$ . Suppose the claim holds for s. Now let us consider the claim for s + 1.

The first formula in Claim 3 is equivalent to

$$\left(Q(t_2) - Q(qt_2) + \sum_{i \equiv 0 \mod m} b_i c_1\right) . W_{-i} = 0,$$
(2.14)

for any  $Q(t_2) = \sum b_i t_2^i$  with  $P_i | Q$ .

Let  $P_{s+1}(t_2) = P_s(qt_2)P_s(t_2)P_s(q^{-1}t_2) = \sum_{j \in \mathbb{Z}} a_j^{(s+1)}t_2^j$ . For any  $k, l \in \mathbb{Z}$ , noticing that  $P_s(t_2)|P_{s+1}(t_2)$ ,  $P_s(t_2)|P_{s+1}(qt_2)$  and  $P_s(t_2)|P_{s+1}(q^{-1}t_2)$ , by induction we have

$$\begin{pmatrix} t_2^l P_{s+1}(t_2) - q^l t_2^l P_{s+1}(qt_2) + \sum_{i \equiv -l \mod m} a_i^{(s+1)} c_1 \end{pmatrix} .(t_1^{-1} t_2^k W_{-s})$$

$$= (t_1^{-1} t_2^k) \Big( t_2^l P_{s+1}(t_2) - q^l t_2^l P_{s+1}(qt_2) + \sum_{i \equiv -l \mod m} a_i^{(s+1)} c_1 \Big) .W_{-s}$$

$$+ \Big[ t_2^l P_{s+1}(t_2) - q^l t_2^l P_{s+1}(qt_2) + \sum_{i \equiv -l \mod m} a_i^{(s+1)} c_1, t_1^{-1} t_2^k \Big] W_{-s}$$

$$= \left(t_1^{-1}t_2^{k+l}(q^{-l}P_{s+1}(q^{-1}t_2) - 2P_{s+1}(t_2) + q^lP_{s+1}(qt_2))\right)V_{-s} = 0.$$

This proves the first formula in Claim 3 for i = s+1. Using this newly established formula, for any  $k, l, r \in \mathbb{Z}$ , noticing that  $(t_1^{-1}t_2^lP_{s+1}(t_2)).W_{-s} = 0$ , we deduce that

$$(t_{1}t_{2}^{r}).(t_{1}^{-1}t_{2}^{l}P_{s+1}(t_{2})).(t_{1}^{-1}t_{2}^{k}W_{-s})$$

$$= [t_{1}t_{2}^{r}, t_{1}^{-1}t_{2}^{l}P_{s+1}(t_{2})].(t_{1}^{-1}t_{2}^{k}W_{-s}) + (t_{1}^{-1}t_{2}^{l}P_{s+1}(t_{2})).(t_{1}t_{2}^{r}).(t_{1}^{-1}t_{2}^{k}W_{-s})$$

$$= [t_{1}t_{2}^{r}, t_{1}^{-1}t_{2}^{l}P_{s+1}(t_{2})].(t_{1}^{-1}t_{2}^{k}W_{-s})$$

$$= q^{-r} \Big( t_{2}^{r+l}P_{s+1}(t_{2}) - q^{r+l}t_{2}^{r+l}P_{s+1}(qt_{2}) + \sum_{i\equiv -r-l \mod m} a_{i}^{(s+1)}c_{1} \Big).(t_{1}^{-1}t_{2}^{k}W_{-s}) = 0$$

which implies that  $(t_1^{-1}t_2^l P_{s+1}(t_2)).(t_1^{-1}t_2^k W_{-s}) = 0$  for all  $k, l \in \mathbb{Z}$ . Thus  $(t_1^{-1}t_2^l P_{s+1}(t_2)).W_{-s-1} = 0$  for all  $l \in \mathbb{Z}$ . This proves the second formula in Claim 3 for i = s + 1. Therefore our Claim follows.

From the second formula of Claim 3 we see that

$$\dim W_{-s-1} \leq \deg P_{s+1} \cdot \dim W_{-s}, \ \forall \ s \in \mathbb{Z}_+.$$

Thus Part (b) holds. Our theorem follows.

**Theorem 2.2.** Suppose q is generic. Then the module  $V(\phi)$  over  $\widetilde{C}_q = \widehat{C}_q$  has finite dimensional homogeneous subspaces if and only if there exist a positive integer r and  $b_{10}, b_{11}, ..., b_{1s_1}, ..., b_{r0}, b_{r1}, ..., b_{rs_r} \in C, \ \alpha_1, ..., \alpha_r \in C^*$  such that

$$\phi(t_2^i) = \frac{(b_{10} + b_{11}i + \dots + b_{1s_1}i^{s_1})\alpha_1^i + \dots + (b_{r0} + b_{r1}i + \dots + b_{rs_r}i^{s_r})\alpha_r^i}{1 - q^i},$$
  
$$\forall \ i \in \mathbb{Z} \setminus \{0\},$$

$$\phi(c_1) = b_{10} + b_{20} + \dots + b_{r0}.$$

*Proof.* " $\Rightarrow$ ". Suppose  $f_i = \phi((1 - q^i)t_2^i)$  for  $i \in \mathbb{Z} \setminus \{0\}$  and  $f_0 = \phi(c_1)$ . Then (2.4) becomes

$$\sum_{i=0}^{n} a_i f_{k+i} = 0, \ \forall \ k \in \mathbb{Z}.$$
(2.15)

Suppose  $\alpha_1, \alpha_2, ..., \alpha_r$  are all distinct roots of the equation  $P(t_2) = 0$  with multiplicity  $s_1 + 1, s_2 + 1, ..., s_r + 1$  respectively. Then by a well-known combinatorial formula, we know that there exist  $b_{10}, b_{11}, ..., b_{1s_1}, ..., b_{r0}, b_{r1}, ..., b_{rs_r} \in C$  such that

$$f_i = (b_{10} + b_{11}i + \dots + b_{1s_1}i^{s_1})\alpha_1^i + \dots + (b_{r0} + b_{r1}i + \dots + b_{rs_r}i^{s_r})\alpha_r^i, \ \forall \ i \in \mathbb{Z}.$$
(2.16)  
Then, for all  $i \in \mathbb{Z} \setminus \{0\},$ 

$$(1 - q^{i})\phi(t_{2}^{i}) = (b_{10} + b_{11}i + \dots + b_{1s_{1}}i^{s_{1}})\alpha_{1}^{i} + \dots + (b_{r0} + b_{r1}i + \dots + b_{rs_{r}}i^{s_{r}})\alpha_{r}^{i}, \text{ and}$$

 $\phi(c_1) = b_{10} + b_{20} + \dots + b_{r0}.$ 

Thus we have the expression for  $\phi(t_2^i)$  for  $i \in \mathbb{Z} \setminus \{0\}$ , and  $\phi(c_1)$  in the theorem. This direction follows.

" $\Leftarrow$ ". Let  $P(t_2) = \prod_{i=1}^r (t_2 - \alpha_i)^{s_i+1}$ . By using the above used combinatorial formula we can easily verify that (2.15) holds, i.e., (2.4) holds. This direction holds. This completes the proof of this theorem.

Next we suppose that q is a primitive *m*-th root of unity with m > 1. We first establish the following Lemma.

**Lemma 2.3.** Suppose r > 1,  $a_1, a_2, ..., a_r, \beta_1, \beta_2, ..., \beta_r \in C$  with  $|\beta_1| = |\beta_2| = ... = |\beta_r| = 1$  and  $\beta_1, \beta_2, ..., \beta_r$  are pair-wise distinct. If

$$\lim_{i \in \mathbb{Z}, i \to \infty} (a_1 \beta_1^i + a_2 \beta_2^i + \dots + a_r \beta_r^i)$$

exists, then  $a_1 = a_2 = ... = a_r = 0$ , or only one  $a_i$  is not zero and the corresponding  $\beta_i = 1$ .

*Proof.* Suppose only one  $a_k$  is not zero, say  $a_1 \neq 0$ . Then  $\lim_{i \in \mathbb{Z}, i \to \infty} \beta_1^i = \lambda \neq 0$  exists. From

$$\beta_1 \lambda = \beta_1 \lim_{i \in \mathbb{Z}, \ i \to \infty} \beta_1^i = \lim_{i \in \mathbb{Z}, \ i \to \infty} \beta_1^i = \lambda,$$

we deduce that  $\beta_1 = 1$ .

We now assume that all  $a_k$  are not zero and r > 1. Write complex numbers in polar form:  $\beta_k = e^{\theta_k}$ ,  $b_k = \rho_k e^{\omega_k}$  for  $1 \le k \le r$ . Then

$$\lim_{i \in \mathbb{Z}, i \to \infty} (a_1 \beta_1^i + a_2 \beta_2^i + \dots + a_r \beta_r^i) = \lim_{i \in \mathbb{Z}, i \to \infty} (\sum_{k=1}^r \rho_k e^{i\theta_k + \omega_k}) = \lambda \in C$$

exists. For any real number  $\theta$  we define  $\overline{\theta}$  to be such a real number that  $0 \leq \overline{\theta} < 2\pi$  and  $\overline{\theta} \equiv \theta \pmod{2\pi}$ . Since  $0 \leq \overline{i\theta_k} < 2\pi$  for all  $i \in \mathbb{Z}$  and for all  $1 \leq k \leq r$ , there exists a series of integers  $\{p_i\}_{i=1}^{\infty}$  such that  $\lim_{i\to\infty} p_i = \infty$  and  $\lim_{i\to\infty} \overline{p_i\theta_1} = \lambda_1$  exists. Similarly there exists a sub-series  $\{h_i\}_{i=1}^{\infty}$  of  $\{p_i\}_{i=1}^{\infty}$  such that  $\lim_{i\to\infty} \overline{h_i\theta_k} = \lambda_k$  exists for all  $1 \leq k \leq r$ .

Then for any  $j \in \mathbb{Z}$ , we have

$$\lambda = \lim_{i \in \mathbb{Z}, \ i \to \infty} \left( \sum_{k=1}^r \rho_k e^{h_i \theta_k + j \theta_k + \omega_k} \right) = \sum_{k=1}^r \rho_k e^{j \theta_k} e^{\lambda_k + j \theta_k + \omega_k} = \sum_{k=1}^r \rho_k \beta_k^j e^{\lambda_k + \omega_k}.$$

It follows that, for all  $j \in \mathbb{Z}$ ,

$$\sum_{k=1}^{r} \rho_k \beta_k^j e^{\lambda_k + \omega_k} = \lambda,$$
  
$$\sum_{k=1}^{r} \beta_k \rho_k \beta_k^j e^{\lambda_k + \omega_k} = \lambda,$$
  
$$\dots$$
  
$$\sum_{k=1}^{r} \beta_k^r \rho_k \beta_k^j e^{\lambda_k + \omega_k} = \lambda.$$

The coefficient matrix of the above set of linear equations is a Vandermonde matrix which is invertible. Thus  $\rho_k \beta_k^j e^{\lambda_k + \omega_k} \neq 0$  is independent of j. Therefore  $\beta_k = 1$  for all  $1 \leq k \leq r$ , which is a contradiction. This completes the proof of this lemma.

**Theorem 2.4.** Suppose q is a primitive m-th root of unity,  $\omega_1, \omega_2, ..., \omega_m$ are all the m-th roots of unity with m > 1. Then the module  $V(\phi)$  over  $\widetilde{C}_q$  has finite dimensional weight spaces with respect to  $d_1$  if and only if there exist a positive integer r and  $\alpha_1, ..., \alpha_r \in C^*$  whose m-th powers are pair-wise distinct,  $b_{10}^{(k)}, b_{11}^{(k)}, ..., b_{1s_1}^{(k)}, ..., b_{r0}^{(k)}, b_{r1}^{(k)}, ..., b_{rs_r}^{(k)} \in C$  for  $k : 1 \le k \le n$  satisfying

$$\sum_{k=1}^{m} b_{lj}^{(k)} = 0, \ \forall \ 1 \le l \le r, \ j \ge 0$$

such that for  $i \in \mathbb{Z} \setminus m\mathbb{Z}$ ,

$$\phi(t_2^i) = \sum_{k=1}^m \frac{(b_{10}^{(k)} + b_{11}^{(k)}i + \dots + b_{1s_1}^{(k)}i^{s_1})\omega_k^i \alpha_1^i + \dots + (b_{r0}^{(k)} + b_{r1}^{(k)}i + \dots + b_{rs_r}^{(k)}i^{s_r})\omega_k^i \alpha_r^i}{1 - q^i}$$
  
$$\phi(c_1) = 0.$$

*Proof.* " $\Rightarrow$ ". Note that  $\phi(c_1) = 0$ . Suppose  $f_i = \phi((1 - q^i)t_2^i)$  for  $i \in \mathbb{Z}$ . From Theorem 2.1 we see that

$$\sum_{i=0}^{n} a_i f_{k+i} = 0, \ \forall \ k \in \mathbb{Z}.$$
(2.17)

Then by a well-known combinatorial formula, we know that there exist  $\alpha_1, ..., \alpha_r \in C^*$  whose m-th powers are pair-wise distinct,  $b_{10}^{(k)}, b_{11}^{(k)}, ..., b_{1s_1}^{(k)}, ..., b_{r0}^{(k)}, b_{r1}^{(k)}, ..., b_{rs_r}^{(k)} \in C$  for  $k : 1 \leq k \leq n$  such that

$$f_{i} = \sum_{k=1}^{m} (b_{10}^{(k)} + b_{11}^{(k)}i + \dots + b_{1s_{1}}^{(k)}i^{s_{1}})\omega_{k}^{i}\alpha_{1}^{i} + \dots + (b_{r0}^{(k)} + b_{r1}^{(k)}i + \dots + b_{rs_{r}}^{(k)}i^{s_{r}})\omega_{k}^{i}\alpha_{r}^{i}, \ \forall \ i \in \mathbb{Z}$$

$$(2.18)$$

Replacing i with mi in (2.18) we see that

$$\sum_{k=1}^{m} (b_{10}^{(k)} + b_{11}^{(k)}mi + \dots + b_{1s_1}^{(k)}(mi)^{s_1})\alpha_1^i + \dots + (b_{r0}^{(k)} + b_{r1}^{(k)}mi + \dots + b_{rs_r}^{(k)}(mi)^{s_r})\alpha_r^i = 0.$$

We may assume that  $|\alpha_1| \ge |\alpha_2| \ge ... \ge |\alpha_r|$ ,  $|\alpha_1| = |\alpha_2| = ... = |\alpha_{r_1}| > |\alpha_{r_1+1}|$ and  $s_1 \ge ... \ge s_{r_1}$  in (2.18). Using the fact  $\lim_{i\to\infty} i^k \lambda^i = 0$  for any  $\lambda : |\lambda| < 1$ , from (2.18) we see that

$$\lim_{i \to \infty} \frac{\sum_{k=1}^{m} (b_{10}^{(k)} + b_{11}^{(k)} mi + \dots + b_{1s_1}^{(k)} (mi)^{s_1}) \alpha_1^i + \dots + (b_{r0}^{(k)} + b_{r1}^{(k)} mi + \dots + b_{rs_r}^{(k)} (mi)^{s_r}) \alpha_r^i}{i^{s_1} |\alpha_1|^i} = \lim_{i \to \infty} \frac{\sum_{k=1}^{m} (b_{1s_1}^{(k)} m^{s_1}) \alpha_1^i + \dots + \sum_{k=1}^{m} (b_{r_1s_1}^{(k)} m^{s_1}) \alpha_{r_1}^i}{|\alpha_1|^i} = 0.$$

Using Lemma 2.3, we deduce that  $\sum_{k=1}^{m} b_{1s_1}^{(k)} = \dots = \sum_{k=1}^{m} b_{r_1s_1}^{(k)} = 0$ . In this manner, by repeatedly doing this, we deduce that  $\sum_{k=1}^{m} b_{lj}^{(k)} = 0$  for all  $1 \leq l \leq r, j \geq 0$ .

" $\Leftarrow$ ". Let  $P(t_2) = \prod_{k=1}^{m} \prod_{i=1}^{r} (t_2 - \omega_k \alpha_i)^{s_i+1}$ . By using the combinatorial formula we can easily verify that (2.17) is true, i.e., (2.4) holds. This completes the proof of this theorem.

**Theorem 2.5.** Suppose q is a primitive m-th root of unity with m > 1,  $\omega_1, \omega_2, ..., \omega_m$  are all the m-th roots of unity. Then the module  $V(\phi)$  over  $\widehat{C}_q$  has finite dimensional weight spaces if and only if there exist a positive integer r and  $\alpha_1, ..., \alpha_r \in C^*$  whose m-th powers are pair-wise distinct,

$$b_{10}^{(k)}, b_{11}^{(k)}, ..., b_{1s_1}^{(k)}, ..., b_{r0}^{(k)}, b_{r1}^{(k)}, ..., b_{rs_r}^{(k)} \in C$$

for  $k: 1 \leq k \leq m$  such that one of the following holds

$$\begin{aligned} (a). \ \sum_{k=1}^{m} b_{lj}^{(\kappa)} &= 0 \ \forall \ l \ge 1, j \ge 0, \ and \ for \ i \in \mathbb{Z} \setminus m\mathbb{Z}, \\ \phi(t_2^i) &= \sum_{k=1}^{m} \frac{(b_{10}^{(k)} + b_{11}^{(k)}i + \dots + b_{1s_1}^{(k)}i^{s_1})\omega_k^i \alpha_1^i + \dots + (b_{r0}^{(k)} + b_{r1}^{(k)}i + \dots + b_{rs_r}^{(k)}i^{s_r})\omega_k^i \alpha_r^i}{1 - q^i}, \\ \phi(c_1) &= 0; \\ (b). \ \alpha_1 &= 1, \ \sum_{k=1}^{m} b_{lj}^{(k)} = 0 \ \forall \ l \ge 1, j \ge 1 \ such \ that \ for \ i \in \mathbb{Z} \setminus m\mathbb{Z}, \\ \phi(t_2^i) &= \sum_{k=1}^{m} \frac{(b_{10}^{(k)} + b_{11}^{(k)}i + \dots + b_{1s_1}^{(k)}i^{s_1})\omega_k^i \alpha_1^i + \dots + (b_{r0}^{(k)} + b_{r1}^{(k)}i + \dots + b_{rs_r}^{(k)}i^{s_r})\omega_k^i \alpha_r^i}{1 - q^i}, \\ \phi(c_1) &= \sum_{k=1}^{m} b_{10}^{(k)}. \end{aligned}$$

*Proof.* For  $V(\phi)$ , if  $\phi(c_1) = 0$ , this theorem follows directly from Theorem 2.4. So we now assume that  $\phi(c_1) \neq 0$ .

"⇒". Suppose  $f_i = \phi((1 - q^i)t_2^i)$  for  $i \in \mathbb{Z} \setminus m\mathbb{Z}$  and  $f_{lm} = \phi(c_1)$  for  $l \in \mathbb{Z}$ . Then (2.5) becomes

$$\sum_{i=0}^{n} a_i f_{k+i} = 0, \ \forall \ k \in \mathbb{Z}.$$
(2.19)

By a well-known combinatorial formula, we know that there exist  $\alpha_1, ..., \alpha_r \in C^*$ whose m-th powers are pair-wise distinct,  $b_{10}^{(k)}, b_{11}^{(k)}, ..., b_{1s_1}^{(k)}, ..., b_{r0}^{(k)}, b_{r1}^{(k)}, ..., b_{rs_r}^{(k)} \in C$  for  $k: 1 \leq k \leq n$  such that for  $i \in \mathbb{Z}$ ,

$$f_{i} = \sum_{k=1}^{m} (b_{10}^{(k)} + b_{11}^{(k)}i + \dots + b_{1s_{1}}^{(k)}i^{s_{1}})\omega_{k}^{i}\alpha_{1}^{i} + \dots + (b_{r0}^{(k)} + b_{r1}^{(k)}i + \dots + b_{rs_{r}}^{(k)}i^{s_{r}})\omega_{k}^{i}\alpha_{r}^{i}.$$
(2.20)

We deduce that,

$$\phi(t_2^i) = \sum_{k=1}^m \frac{(b_{10}^{(k)} + b_{11}^{(k)}i + \dots + b_{1s_1}^{(k)}i^{s_1})\omega_k^i \alpha_1^i + \dots + (b_{r0}^{(k)} + b_{r1}^{(k)}i + \dots + b_{rs_r}^{(k)}i^{s_r})\omega_k^i \alpha_r^i}{1 - q^i}$$

for all  $i \in \mathbb{Z} \setminus m\mathbb{Z}$ , and  $\phi(c_1) = \sum_{k=1}^{m} (b_{10}^{(k)} + b_{20}^{(k)} + \dots + b_{r0}^{(k)})$   $= \sum_{k=1}^{m} [(b_{10}^{(k)} + b_{11}^{(k)}mi + \dots + b_{1s_1}^{(k)}(mi)^{s_1})\alpha_1^{mi} + \dots + (b_{r0}^{(k)} + b_{r1}^{(k)}mi + \dots + b_{rs_r}^{(k)}(mi)^{s_r})\alpha_r^{mi}],$ (2.21)

for all  $i \in \mathbb{Z}$ . Since  $\phi(c_1) \neq 0$ , we see that

$$\phi(c_1) = \sum_{k=1}^{m} (b_{10}^{(k)} + b_{20}^{(k)} + \dots + b_{r0}^{(k)}) = \lambda \neq 0.$$

Then (2.21) becomes

$$\sum_{k=1}^{m} [(b_{10}^{(k)} + b_{11}^{(k)}mi + \dots + b_{1s_1}^{(k)}(mi)^{s_1})\alpha_1^{mi} + \dots + (b_{r0}^{(k)} + b_{r1}^{(k)}mi + \dots + b_{rs_r}^{(k)}(mi)^{s_r})\alpha_r^{mi}]$$

$$= \lambda \neq 0, \qquad (2.22)$$

for all  $i \in \mathbb{Z}$ . If all  $|\alpha_i| < 1$ , it is clear that  $\lambda = \lim_{i \to \infty} (\sum_{k=1}^m [(b_{10}^{(k)} + b_{11}^{(k)}mi + \dots + b_{1s_1}^{(k)}(mi)^{s_1})\alpha_1^{mi} + \dots + (b_{r0}^{(k)} + b_{r1}^{(k)}mi + \dots + b_{rs_r}^{(k)}(mi)^{s_r})\alpha_r^{mi}]) = 0$ , which is impossible. Using the similar discussion as used in the proof of Theorem 2.4, we deduce that

$$\sum_{k=1}^{m} b_{lj}^{(k)} = 0, \ \forall \ l \ge 1, j \ge 1, \text{ and}$$
$$\sum_{k=1}^{m} [b_{10}^{(k)} \alpha_1^{mi} + \dots + b_{r0}^{(k)} \alpha_r^{mi}] = \lambda \neq 0, \ \forall \ i \in \mathbb{Z}.$$

By using Lemma 2.3, we know that one of  $\alpha_j$  is 1, say  $\alpha_1 = 1$ , and

$$\sum_{k=1}^{m} b_{l0}^{(k)} = 0, \ \forall \ l > 1.$$

Thus we have proved this direction.

" $\Leftarrow$ ". Let  $P(t_2) = \prod_{k=1}^m \prod_{i=1}^r (t_2 - \omega_k \alpha_i)^{s_i+1}$ . By using the combinatorial formula we can easily verify that (2.19) holds, i.e., (2.5) holds. This completes the proof of this theorem.

**Example 1.** If  $\phi = 0$ , then  $V(\phi)$  is the 1-dimensional trivial module.

**Example 2.** Let q be generic,  $\phi(c_1) = 0$ ,  $\phi(t_2^{2i}) = 0$ ,  $\phi(t_2^{2i+1}) = \frac{a^{-i}}{1-q^{2i+1}}$  for all  $i \in \mathbb{Z}$ . Then  $V(\phi)$  has finite dimensional weight spaces.

**Example 3.** Let  $\phi(c_1) = 1$ ,  $\phi(t_2^i) = \begin{cases} \frac{q^{-i}}{1-q^i}, & \text{if } q^i \neq 1\\ 1, & \text{if } q^i = 1 \end{cases}$  for all  $i \in \mathbb{Z}$ . Then

the module  $V(\phi)$  over  $\widehat{C}_q$  has finite dimensional weight spaces. This module has

character formula [6]

$$ch(V(\phi)) = \frac{1}{\prod_{i \in \mathbb{N}} (1 - z^{-i})}.$$

**Remark.** In Theorem 2.5(b) if r = 1 and  $s_1 = 1$ , then such  $V(\phi)$  are all the integrable highest weight modules over the loop Lie algebra  $\frac{\mathbf{C}_q}{(1-t_2^m)\mathbf{C}_q} \simeq \widehat{gl}_m$  (see [8]).

## 3. $\mathbb{Z} \times \mathbb{Z}$ -graded representations for $\widetilde{C}_q$

Since  $\widetilde{C}_q$  has a natural  $\mathbb{Z} \times \mathbb{Z}$ -gradation, it is important to consider  $\mathbb{Z} \times \mathbb{Z}$ -graded modules with finite dimensional homogeneous subspaces. We shall use a technique in [8, 9] to construct some irreducible  $\mathbb{Z} \times \mathbb{Z}$ -graded modules over  $L = \widetilde{C}_q$  with finite dimensional weight spaces. Note that, with respect to the powers of  $t_1$  and  $t_2$ ,  $\widetilde{C}_q$  has a natural  $\mathbb{Z} \times \mathbb{Z}$ -gradation, but  $\widehat{C}_q$  does not have such a  $\mathbb{Z} \times \mathbb{Z}$ -gradation.

Suppose  $V(\phi)$  is a L-module constructed in Theorems 2.3 and 2.5. Set

$$\widehat{V}(\phi) = V(\phi) \otimes C[y^{\pm 1}].$$

If we define the action of  $\widehat{L}$  as follows:

$$\begin{aligned} (t_1^i t_2^j)(u \otimes y^k) &= ((t_1^i t_2^j)u) \otimes y^{k+j}, \ \forall \ u \in V(\phi); \ i, j, k \in \mathbb{Z}, \\ x(u \otimes y^k) &= (xu) \otimes y^k, \ \forall \ u \in V(\phi), \end{aligned}$$

where  $x \in Cc_1 \oplus Cd_1$  for  $\widehat{C}_q$ ,  $x \in Cc_1 \oplus Cd_1$  for  $\widetilde{C}_q$ , then  $\widehat{V}(\phi)$  becomes an irreducible  $\mathbb{Z} \times \mathbb{Z}$ -graded *L*-module with finite dimensional weight spaces.

We would like to conclude this paper by asking the following question: in case the irreducible module  $V(\phi)$  has finite dimensional weight spaces, can we have a precise expression for  $chV(\phi)$ ?

#### References

- S. Berman, Y. Billig, Irreducible representations for toroidal Lie algebras, J. Algebra, 221 (1999), 188–231.
- S. Berman, C. Dong, S. Tan, Representations of a class of lattice type vertex algebras, J. Pure Appl. Algebra, 176 (2002), 27–47.
- [3] S. Berman, Y. Gao, Y.S. Krylyuk, Quantum tori and the structure of elliptic quasisimple Lie algebras, J. Funct. Anal., 135 (1996), 339–389.
- [4] S. Berman, Y. Gao, S. Tan, A unified view of some vertex operator constructions, Israel J. Math., 134 (2003), 29–60.
- [5] S. Berman, J. Szmigielski, Principal realization for the extended affine Lie algebra of type sl<sub>2</sub> with coordinates in a simple quantum torus with two generators, Recent developments in quantum affine algebras and related topics (Raleigh, NC, 1998), 39–67, Contemp. Math., 248, Amer. Math. Soc., Providence, RI, 1999.
- [6] Y. Billig, K. Zhao, Vertex operator representations of quantum tori at roots of unity, Comm. Contemp. Math., 6, (2004) 195–220.
- [7] S. Eswara Rao, R.V. Moody, Vertex representations for n-toroidal Lie algebras and a generalization of the Virasoro algebra, Comm. Math. Phys., 159 (1994), 239–264.

- [8] Y. Gao, Representations of extended affine Lie algebras coordinatized by certain quantum tori, Compositio Math., 123 (2000), 1–25.
- Y. Gao, Vertex operators arising from the homogeneous realization for gl<sub>N</sub>, Comm. Math. Phys., 211 (2000), 745–777.
- [10] M. Golenishcheva-Kutuzova, D. Lebedev, Vertex operator representation of some quantum tori Lie algebras, Comm. Math. Phys., 148 (1992), 403–416.
- [11] J. C. McConnell, J. J. Pettit, Crossed products and multiplicative analogues of Weyl algebras, J. London Math. Soc. 38 (1988), 47–55.
- [12] K. Zhao, Weyl type algebras from quantum tori, preprint, pp. 30.

School of Mathematics, Tata Institute of Fundamental Research, Homi Bhabha Road, Mumbai-400005, India.

*E-mail address*: senapati@math.tifr.res.in

Department of Mathematics, Wilfrid Laurier University, Waterloo, ON, N2L 3C5 Canada

INSTITUTE OF MATHEMATICS, ACADEMY OF MATHEMATICS AND SYSTEM SCIENCES, CHINESE ACADEMY OF SCIENCES, BEIJING 100080, P. R. CHINA.

E-mail address: kzhao@wlu.ca